# **Silicon Carbide Whiskers Synthesized from SiO2-CH4-Na3AlF6 system**

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Silicon carbide (SiC) whiskers were synthesized from  $SiO_2$ -CH<sub>4</sub>-Na<sub>3</sub>AlF<sub>6</sub> system. The whiskers obtained were cylindrical in shape, and they had uniform diameter (0.1–5.0  $\mu$ m) and length (50  $\mu$ m-10 mm). They were found to have grown by a Vapor-Liquid-Solid (VLS) mechanism since a catalyst droplet was observed on the tip of most of the whiskers. The composition of the Al-Si droplets was determined by means of EDAX. As no researchers have previously reported on Al-Si droplets, we conducted a detailed analysis of them in this study. © 1999 Kluwer Academic Publishers

## **1. Introduction**

SiC has a high resistance to oxidation, creep and thermal shock at high temperatures, as well as high rigidity and high strength. Therefore, SiC is expected to be applied as a structural material at high temperatures. In addition, SiC is planned to be used as a material for the first wall of a nuclear fusion reactor, since plasma contamination associated with SiC is small and SiC is not damaged easily by radioactive irradiation due to its low atomic number [1]. Very pure, dense and thick SiC is required to analyze its various characteristics. SiC compacts can be obtained through several methods including reaction sintering, recrystallization, hot press and CVD method. Among these methods, CVD is the most appropriate for the synthesis of SiC with high purity and density.

Many researchers have synthesized SiC using CVD; most SiC was synthesized from  $SiO(g)$ -CO,  $SiO_2$ -C or  $SiO<sub>2</sub>-C-H<sub>2</sub>$  system by the method of reducing silica [2]. CVD has already been used in practical applications.

The flux method is effective for growing single crystals, whereby seed crystals are immersed in a fused liquid body to promote crystal growth. There have been very few reports on the growth of whiskers using Na<sub>3</sub>AlF<sub>6</sub> (cryolite) as a flux; only synthesis from  $SiO<sub>2</sub>-C-Fluoride$  system by the solid phase method has been reported [3].

In this study, SiC whiskers were synthesized using  $SiO<sub>2</sub>$  as the Si source and Na<sub>3</sub>AlF<sub>6</sub> as the flux. A large amount of  $β$ -SiC whiskers were synthesized when the molar ratio of  $SiO<sub>2</sub>$  to Na<sub>3</sub>AlF<sub>6</sub> (SiO<sub>2</sub>/Na<sub>3</sub>AlF<sub>6</sub>) ranged from 2 to 8. The effects of the flux on the shape of the whiskers and on the composition of the droplets were discussed.

## **2. Materials and methods**

Fig. 1 shows a schematic drawing of the experimental apparatus, the Lindbergh electrical furnace. SiC whiskers were synthesized as follows: A gas mixture of methane (10 vol %) and argon (90 vol %) was used as the reaction gas.  $SiO_2$  powder and  $Na<sub>3</sub>AlF<sub>6</sub>$  (Wako Chemical Ltd.) were mixed so the  $SiO<sub>2</sub>/Na<sub>3</sub>AlF<sub>6</sub>$  ratio ranged from 2 to 8. The resulting powder, which was used as the starting material, was crushed to approximately 10  $\mu$ m particles using a planetary ball mill. The starting material was set on a graphite boat which was, in turn, placed into a graphite tube. The graphite tube was placed in the center of an alumina tube, into which the reaction gas was introduced. After the gas in the tube was replaced by the reaction gas, the reaction gas was supplied at a rate of 180 ml/min, as determined by a preliminary experiment. The temperature within the reaction apparatus was raised at a rate of 5 K/min to 1673 K, which was maintained for 10 h. The temperature was then decreased to 773 K at a rate of 5 K/min, and the samples were allowed to cool freely. A mixture gas was supplied continuously until the temperature fell below 523 K, to prevent oxidation of the reaction products.

The reaction products were identified by X-ray diffraction (XRD) patterns, and the shapes were observed by scanning electron microscopy (SEM). A elemental analysis by EDAX was used for the composition analysis of the products.

## **3. Results**

## 3.1. Deposition state of whiskers

Fig. 2 shows the deposition states of the whiskers after heat treatment. Table I summarizes the deposition state and the phase of the products identified by XRD. Whiskers were synthesized when the  $SiO<sub>2</sub>/Na<sub>3</sub>AlF<sub>6</sub>$ ratio ranged from 2 to 8. Regardless of the molar ratio, obtained whiskers were  $β$ -SiC whiskers and were deposited at three places; on the graphite boat (Fig. 2-No. 1), on the outer surface of the graphite tube (Fig. 2-No. 2) and on the inner wall of the alumina tube (Fig. 2-No. 3, hereafter abbreviated as



*Figure 1* Schematic diagram of the experimental apparatus for SiC whiskers synthesis. (a) whole view, (b) sample holder. A: gas inlet, B: gas outlet, C: gas exhaust. 1: graphite tube (16 mm I.D.), 2: electric furnace, 3: thermocouple (PR), 4: alumina tube (42 mm I.D.), 5: holed part, 6: graphite boats, 7: sample.



*Figure 2* Deposition state of products. No1 and No2 was formed on graphite boat and tube, respectively. No3 and No4 was formed on alumina tube.

outside-whisker). At the bottom of the graphite boat, a glossy melt remained with the product phase which was identified as  $Na<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>$ . A white coating was formed on the inner wall of the alumina tube near the gas outlet (Fig. 2-No. 4), which was identified as  $Na<sub>3</sub>AIF<sub>6</sub>$  by XRD.

Whiskers synthesized on the outer surface of the graphite tube and on the inner wall of the alumina tube were wool-like, while whiskers synthesized on the graphite boat were needle-like. The whiskers synthesized on the graphite boat were greenish white and those on the outer surface of the graphite tube and on the inner wall of the alumina tube were greenish white or gray.

Fig. 3 shows X-ray diffraction patterns of the products obtained when the  $SiO_2/Na_3AlF_6$  ratio was 5. At this molar ratio, the greatest amount of product was

TABLE I Deposition states and phases of products

Places	Deposition states	Phases of products
Graphite boat	$W + R$	$W: 3C-SiC$
		$2H-SiC$
		$R: Na2Si2O5$
Graphite tube	W	$3C-SiC$
		$2H-SiC$
Alumina tube	$W + C$	$W: 3C-SiC$
		C: $Na_3AlF_6$

W: whiskers, R: residue, C: coating.



*Figure 3* X-ray diffraction patterns of products obtained in the  $SiO_2/$  $Na<sub>3</sub>AIF<sub>6</sub>$  ratio = 5. (a) on the alumina tube, (b) on the graphite tube, (c) on the graphite boat.

synthesized. In the diffraction pattern of the product obtained on the inner wall of the alumina tube (a), a pattern corresponding to  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> was detected. Since whiskers were deposited on the inner wall of the alumina tube, it was considered to be mixed whiskers with  $\alpha$ -Al<sub>2</sub>O<sub>3</sub> when the products were picked out outside-whiskers. As seen in Fig. 3, 3C-phase was observed in the diffraction pattern of the products collected from the three deposited places. The larger the distance between the

synthesis place and the place where the temperature was set at 1673 K, the smaller the relative peak intensity. This is because only the central part of the alumina tube (150 mm) was set at 1673 K, therefore, the degree of supersaturation may vary depending on the deposition place.

3.2. Shape and composition of SiC whiskers Fig. 4 shows SEM photographs of SiC whiskers. Since whiskers synthesized on the outer surface of the graphite tube, on the graphite boat and on the inner wall of the alumina tube have circular cross sections and droplets on the tips, we assumed the whiskers were grown by a VLS mechanism. With respect to whiskers synthesized on the outer surface of the graphite tube and on the graphite boat, the diameters of the whiskers were smaller than those of the droplets. The diameter of the whiskers synthesized on the inner wall of the alumina tube was the same as those of the droplets, and some of the droplets linked together in a bead-like manner. The diameter of the whiskers did not differ much, with a small range from 0.1 to 5.0  $\mu$ m. Whiskers, other than SiC whiskers, which grow by a VLS mechanism have Fe-Si droplets on their tips; the well-known composition of these droplets is  $Fe/Si = 1 - 0.5$ . However, the droplets obtained in this study were composed of Al-Si and this composition has not yet been reported. Therefore, we conducted a detailed analysis of the droplets by EDAX and summarized the results in Table II.

Concerning whiskers synthesized on the outer surface of the graphite tube, as the  $SiO_2/Na_3AlF_6$  ratio increased, the ratio of Al to Si (Al/Si) decreased; specifically, the amount of silicon increased. A similar tendency was observed for whiskers synthesized on the graphite boat and on the inner wall of the alumina tube. However, the Al/Si ratio tends to increase as the  $SiO<sub>2</sub>/Na<sub>3</sub>AIF<sub>6</sub>$  ratio increased when the  $SiO<sub>2</sub>/Na<sub>3</sub>AIF<sub>6</sub>$ ratio is 5 or larger. The ratio of Al to Si for the droplets obtained from the graphite boat, on the outer surface of the graphite tube and on the inner wall of alumina tube ranged from 0.014 to 0.100, from 0.025 to 5.00 and from 0.018 to 0.100, respectively. According to the binary system phase diagram of Al-Si [4], Al-Si forms a liquid phase if the Al/Si value falls in the range stated above. With respect to the color of the whiskers, as the  $SiO<sub>2</sub>/Na<sub>3</sub>AlF<sub>6</sub>$  ratio increased, the amount of silicon increased, therefore, the whiskers were a grayish color. Some of the whiskers were greenish white. According to G. Urretavizcaya et al. [5], SiC whiskers were green when  $SiO<sub>2</sub>$  is thermally treated on the graphite substrate in a mixed gas flow which has a composition of  $H_2$ : CH<sub>4</sub>: N<sub>2</sub>: CO = 80: 1: 9:10 (vol %), since N atoms penetrate into the C sublattice. In this study, however,  $N_2$  gas was not used; therefore, the reason for this may be that F atoms in  $SiF_4(g)$  (gaseous species) were not completely replaced by C atoms, and F atoms



 $15KU$  $8000 \times$  $25$ Pm  $1073$ 



*Figure 4* SEM photographs of SiC whiskers. (a) on the graphite tube, (b) on the graphite boat, and (c) on the alumina tube.

TABLE II The composition of droplet and color of whiskers

$SiO2/Na3AlF6$								
Al/Si	Graphite boat	$0.100 \text{ Wg}$	$0.038$ Wg	$0.038$ Wg	$0.014 \, \text{Wg}$	$0.018 \text{ Wg} + G$	$0.019 Wg + G$	0.030 Wg
	Graphite tube	$5.00 Wg + G$	$0.125 Wg + G$	$0.047 \text{ Wg} + G$	$0.033 \text{ G}$	$0.032 \text{ G}$	$0.032 \text{ G}$	$0.025 \text{ G}$
	Alumina tube	0.100 W	$0.040 \text{ Wg}$	0.039 Wg	$0.020 \text{ Wg}$	$0.018 \text{ Wg} + G$	$0.020 \text{ Wg} + G$	0.031 Wg

Al/Si: Ratio of atomic percent, W: white, Wg: white-green, G: gray.

remained in the C sublattice. This result agrees with the fact that the radius of N and F atoms is similar, as reported by G. Urretavizcaya et al. [5].

#### **4. Discussion**

There have been many reports on SiC synthesis using graphite as the synthesis substrate and  $SiO<sub>2</sub>$  as the starting material. In this study, the same starting material and substrate as those reported in the references were used. Based on the substrate-dependence of the whiskers, the following reactions could have occurred.

First, methane is decomposed when the temperature is 1273 K or higher.

$$
CH4(g) \rightarrow C(g) + 2H2(g)
$$
 (1)

Next,  $SiO_2(s)$  generates  $SiO(g)$  in the presence of graphite.

$$
SiO_2(s) + C(s) \rightarrow SiO(g) + CO(g) \tag{2}
$$

The obtained  $CO(g)$  is then reduced by  $H_2(g)$ .

$$
CO(g) + H_2(g) \rightarrow C(s) + H_2O(g) \tag{3}
$$

The gas phase chemicals produced by the decomposition of methane react with  $SiO(g)$ .

$$
SiO(g) + C(g) + 2H_2(g) \to SiC(s) + 2H_2O(g) \quad (4)
$$

Based on the equations from  $(1)$  to  $(4)$ , the following equation is obtained.

$$
SiO2(s) + CH4(g) \rightarrow SiC(s) + 2H2O(g) \qquad (5)
$$

However, if the Gibbs free energy  $(\Delta G = 160.19)$ kJ/mol) of Equation 5 is considered, this reaction is unlikely to occur. This agrees with the fact that no whiskers were synthesized and that a melt remained on the graphite boat when the starting material without cryolite was thermally treated.

From the results stated above, cryolite is considered, not only to act as a catalyst, but also to participate in the reaction. Saito et al. [6] reported that  $Na<sub>2</sub>SiO<sub>3</sub>(1)$ was produced through a reaction between NaF(l) and  $SiO<sub>2</sub>(s)$ , and, moreover, when the content of  $SiO<sub>2</sub>(s)$  in the starting material increased,  $Na<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>(l)$  was produced. In this study, since the melt that remained on the graphite boat was  $Na<sub>2</sub>Si<sub>2</sub>O<sub>5</sub>$  and the  $SiO<sub>2</sub>/Na<sub>3</sub>AlF<sub>6</sub>$  ratio used in this study was greater than the molar ratio in their experiment, the following reaction was considered to be possible.

$$
4NaF(l) + 5SiO2(s) \rightarrow 2Na2Si2O5(s) + SiF4(g)
$$
  

$$
\Delta G = -14.785 \text{ kJ/mol}
$$
 (6)

Continuously, the gaseous species generated in this process, that is,  $SiF_4(g)$ , reacted with C atom generated by the decomposition of  $CH<sub>4</sub>(g)$ . Also, considering that whiskers grew depending on the substrate, this reaction was shown as follows:

$$
2\text{SiF}_4(g) + \text{CH}_4(g) + \text{C}(s)
$$
  
\n
$$
\rightarrow 2\text{SiC}(s) + 4\text{F}_2(g) + 2\text{H}_2(g) \tag{7}
$$
  
\n
$$
\Delta G = -38.375 \text{ kJ/mol}
$$

It is considered that this reaction is accelerated when the degree of supersaturation is high, and then SiC whiskers grew very well. Moreover, when the free energies of Equation 7 are considered, this reaction is possible according to the equilibrium theory.

#### **5. Conclusions**

SiC whiskers were synthesized using  $SiO<sub>2</sub>$  as the starting material and  $Na<sub>3</sub>AIF<sub>6</sub>$  as the flux. The following conclusions have been reached:

1. Whiskers were synthesized when the  $SiO_2/$  $Na<sub>3</sub>AIF<sub>6</sub>$  ratio ranged from 2 to 8. Regardless of the molar ratio, the obtained whiskers were mainly  $\beta$ -SiC and the whiskers were deposited at three places; on the graphite boat, on the outer surface of the graphite tube, and on the inner wall of the alumina tube.

2. SiC whiskers were formed through the reaction in which  $\text{SiF}_4(g)$  reacted with C atom generated by the decomposition of  $CH<sub>4</sub>(g)$ . It was concluded that this process is expressed by the following equation.

$$
2\text{SiF}_4(g) + \text{CH}_4(g) + \text{C}(s)
$$

$$
\rightarrow 2\text{SiC}(s) + 4\text{F}_2(g) + 2\text{H}_2(g)
$$

3. Since whiskers synthesized on the outer surface of the graphite tube, on the graphite boat, and on the inner wall of the alumina tube have circular cross sections and droplets on the tips, they are considered to have grown by a VLS mechanism.

4. Al/Si for the droplets obtained from the graphite boat, on the outer surface of the graphite tube and on the inner wall of the alumina tube ranged from 0.014 to 0.100, from 0.025 to 5.00 and from 0.018 to 0.100, respectively.

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